

PATENT APPLICATION SERIAL NO. 1070026

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

03/07/2002 HNGUYEN 00000111 132755 10070026

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FORM PTO-1390  
(REV. 10-2000)

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NUMBER

T1502

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

**10/070026**

INTERNATIONAL APPLICATION NO.  
PCT/GB00/03350

INTERNATIONAL FILING DATE  
30 August 2000

PRIORITY DATE CLAIMED  
7 September 1999

TITLE OF INVENTION

IMIDAZO-PYRIDINE DERIVATIVES AS LIGANDS FOR GABA RECEPTORS

APPLICANT(S) FOR DO/EO/US

David James Hallett, et al.

**Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:**

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures [35 U.S.C. 371(f)] at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made and the US was elected by the expiration of the 19th month from the earliest claimed priority date (PCT Article 31).
5. ☐ A copy of the International Application as filed [35 U.S.C. 371(c)(2)].
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ has been communicated by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed [35 U.S.C. 371(c)(2)].
7. ☐ Amendments to the claims of the International Application under PCT Article 19 [35 U.S.C. 371(c)(3)].
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 [35 U.S.C. 371(c)(3)].
9. ☒ An oath or declaration of the inventor(s) [35 U.S.C. 371(c)(4)].
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 [35 U.S.C. 371(c)(5)].

**Items 11 to 16 below concern other document(s) or information included:**

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

**EXPRESS MAIL CERTIFICATE**  
DATE OF DEPOSIT February 25, 2002  
EXPRESS MAIL NO. EL 920630186 US  
I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS  
BEING DEPOSITED WITH THE UNITED STATES POSTAL  
SERVICE AS EXPRESS MAIL "POST OFFICE TO  
ADDRESSEE" BEFORE 5 P.M. ON THE ABOVE DATE TO  
AN ENVELOPE ADDRESSED TO ASSISTANT COMMISSIONER  
FOR PATENTS, WASHINGTON, D.C. 20590  
MAILED BY Christian Guller  
DATE February 25, 2002

|   |  |                               |  |                          |  |
|---|--|-------------------------------|--|--------------------------|--|
| U.S. APPLICATION NO. (If known, see 37 CFR 1.5) |  | INTERNATIONAL APPLICATION NO. |  | ATTORNEY'S DOCKET NUMBER |  |
| <b>10/070026</b>                                |  | PCT/GB00/03350                |  | T1502                    |  |

|  |  |  |  |                     |                     |
|--|--|--|--|---------------------|---------------------|
| 17. <input checked="" type="checkbox"/> The following fees are submitted:<br><b>BASIC NATIONAL FEE [37 CFR 1.492(a)(1)-(5)]:</b><br>Neither international preliminary examination fee (37 CFR 1.482)<br>nor international search fee [37 CFR 1.445(a)(2)] paid to USPTO<br>and International Search Report not prepared by the EPO or JPO..... <b>\$1,040.00</b><br>International preliminary examination fee (37 CFR 1.482) not paid to<br>USPTO but International Search Report prepared by the EPO or JPO..... <b>\$890.00</b><br>International preliminary examination fee (37 CFR 1.482) not paid to<br>USPTO but international search fee [37 CFR 1.445(a)(2)] paid to USPTO..... <b>\$740.00</b><br>International preliminary examination fee paid to USPTO (37 CFR 1.482)<br>but all claims did not satisfy provisions of PCT Article 33(1)-(4)..... <b>\$710.00</b><br>International preliminary examination fee paid to USPTO (37 CFR<br>1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)..... <b>\$100.00</b><br><div style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT =</b></div> |  |  |  | <b>CALCULATIONS</b> | <b>PTO USE ONLY</b> |
|  |  |  |  |                     |                     |

|  |  |  |  |               |  |
|--|--|--|--|---------------|--|
| Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 CFR 1.492(e)]. |  |  |  | <b>\$0.00</b> |  |
|--|--|--|--|---------------|--|

| Claims  | Number Filed | Number Extra | Rate              |                 |  |
|---|--------------|--------------|-------------------|-----------------|--|
| Total Claims  | 9 - 20 =     | 0            | X <b>\$18.00</b>  | <b>\$0.00</b>   |  |
| Independent Claims  | 3 - 3 =      | 0            | X <b>\$84.00</b>  | <b>\$0.00</b>   |  |
| <input checked="" type="checkbox"/> Multiple dependent claim(s) (if applicable) |              |              | + <b>\$280.00</b> | <b>\$0.00</b>   |  |
| <b>TOTAL OF ABOVE CALCULATIONS =</b>  |              |              |                   | <b>\$890.00</b> |  |

|  |  |  |  |                 |  |
|--|--|--|--|-----------------|--|
| <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2. |  |  |  |                 |  |
| <b>SUBTOTAL =</b>  |  |  |  | <b>\$890.00</b> |  |

|   |  |  |  |                 |               |
|---|--|--|--|-----------------|---------------|
| Processing fee of <b>\$130.00</b> for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date [37 CFR 1.492(f)]. |  |  |  | +               | <b>\$0.00</b> |
| <b>TOTAL NATIONAL FEE =</b>   |  |  |  | <b>\$890.00</b> |               |

|  |  |  |  |                       |  |
|--|--|--|--|-----------------------|--|
| Fee for recording the enclosed assignment [37 CFR 1.21(h)]. The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00</b> per property. |  |  |  | +                     |  |
| <b>TOTAL FEES ENCLOSED =</b>   |  |  |  | <b>\$890.00</b>       |  |
|  |  |  |  | Amount to be refunded |  |
|  |  |  |  | charged               |  |

a. ☐ A check in the amount of \$ \_\_\_\_\_ to cover the above fees is enclosed.

b. ☒ Please charge my Deposit Account No. 13-2755 in the amount of \$890.00 to cover the above fees. A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to the Deposit Account No. 13-2755. A duplicate copy of this sheet is enclosed.


**NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive [37 CFR 1.137(a) or (b)] must be filed and granted to restore the application to pending status.**

SEND ALL CORRESPONDENCE TO:

MERCK & CO., INC.  
 Patent Department, RY60-30  
 P.O. Box 2000  
 126 East Lincoln Avenue  
 Rahway, New Jersey 07065-0970

DATE: February 25, 2002

PHONE #: (732) 594-3904

  
 \_\_\_\_\_  
 SIGNATURE  
 J. Eric Thies  
 \_\_\_\_\_  
 NAME  
35,382  
 \_\_\_\_\_  
 REGISTRATION NUMBER